



*TFW*  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 29, 2006.*

*Robin Lashinski*  
\_\_\_\_\_  
Signature

Applicant : Randall Lashinski, et al. Confirmation No. 7192  
Application No. : 10/634,643  
Filed : August 5, 2003  
Title : TRANSLUMINAL MITRAL ANNULOPLASTY  
WITH ACTIVE ANCHORING  
  
Grp./Div. : 3738  
Examiner : David J. Isabella | 12/04/2006 HDESTA1 00000018 10634643  
Docket No. : 53756/E303 | 01 FC:1806 180.00 OP

**INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
November 29, 2006

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed FOREIGN PATENT DOCUMENTS are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

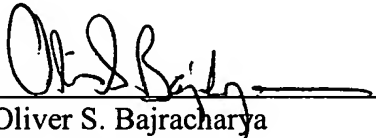
**Application No. 10/634,643**

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

For the Examiner's convenience, the Applicant is submitting two SB/08 forms for this case. One SB/08 form includes the Applicant's own references and the second SB/08 form includes references not owned by the Applicant.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Oliver S. Bajracharya  
Reg. No. 55,905  
626/795-9900

OSB/rjf

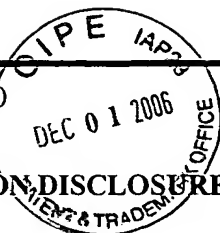
Enclosures: Check \$180  
Copy of this paper  
Copy of IDS  
(Two) Form PTO/SB/08A/B, w/references

RJF PAS711315.1-\* -11/28/06 7:07 PM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



**Attorney Docket Number**

**53756/E303**

**Application Number**

**10/634,643**

**Filing Date**

**August 5, 2003**

**Applicant(s)**

**Randall Lashinski, et al.**

**Group Art Unit**

**3738**

**Examiner Name**

**David J. Isabella**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 2004/0102840 A1	05-27-2004	Solem et al.
		US 2004/0102841 A1	05-27-2004	Langberg et al.
		US 2004/0176840 A1	09-09-2004	Langberg et al.
		US 2004/0254600 A1	12-16-2004	Zarbatany et al.
		US 2005/0043792 A1	02-24-2005	Solem et al.
		US 2005/0060030 A1	03-17-2005	Lashinski et al.
		US 2005/0080483 A1	04-14-2005	Solem et al.
		US 2005/0096740 A1	05-05-2005	Langberg et al.
		6,989,028 B2	01-24-2006	Lashinski et al.
		6,997,951 B2	02-14-2006	Solem et al.
		7,011,682 B2	03-14-2006	Lashinski et al.
		US 2006/0116756 A1	06-01-2006	Solem et al.
		US 2006/0116757 A1	06-01-2006	Lashinski et al.
		7,090,695 B2	08-15-2006	Solem et al.
		US 2006/0184230 A1	08-17-2006	Solem et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
----------------------	--------------------------	-------------------------------------------------------------------------------------------------------------------	--------------------------------	----------------------------------------------------	-----------------------

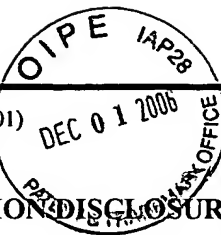
**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

RJF PAS711307.1-\*11/28/06 1:50 AM

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.	

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	53756/E303
Application Number	10/634,643
Filing Date	August 5, 2003
Applicant(s)	Randall Lashinski, et al.
Group Art Unit	3738
Examiner Name	David J. Isabella

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,071,407	12-10-1991	Termin et al.
		5,209,730	05-11-1993	Sullivan
		5,224,491	07-06-1993	Mehra
		5,382,259	01-17-1995	Phelps et al.
		5,441,515	08-15-1995	Khosravi et al.
		5,449,373	09-12-1995	Pinchasik et al.
		5,496,275	03-05-1996	Sirhan et al.
		5,531,779	07-02-1996	Dahl et al.
		5,591,197	01-07-1997	Orth et al.
		5,876,433	03-02-1999	Lunn
		5,954,761	09-21-1999	Machek et al.
		6,006,122	12-21-1999	Smits
		6,013,854	01-11-2000	Moriuchi
		6,161,029	12-12-2000	Spreigl et al.
		6,165,169	12-26-2000	Panescu et al.
		6,168,619 B1	01-02-2001	Dinh et al.
		US 2002/0111647 A1	08-15-2002	Khairkhahan et al.
		US 2002/0188170 A1	12-12-2002	Santamore et al.
		US 2003/0120341 A1	06-26-2003	Shennib et al.
		US 2003/0171806 A1	09-11-2003	Mathis et al.
		6,629,534 B1	10-07-2003	St. Goar et al.
		US 2003/0204138 A1	10-30-2003	Choi
		6,908,478 B2	06-21-2005	Alferness et al.

EXAMINER SIGNATURE	DATE CONSIDERED
-----------------------	--------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.pto.gov](http://www.pto.gov) or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>FORM PTO/SB/08A/B (10-01)</b> Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Attorney Docket Number</b>	<b>53756/E303</b>
	<b>Application Number</b>	<b>10/634,643</b>
	<b>Filing Date</b>	<b>August 5, 2003</b>
	<b>Applicant(s)</b>	<b>Randall Lashinski, et al.</b>
	<b>Group Art Unit</b>	<b>3738</b>
	<b>Examiner Name</b>	<b>David J. Isabella</b>

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		WO 98/51365	11-19-1998	Davis	
		WO 02/060352 A1	08-08-2002	Lashinski et al.	
		WO 03/037171 A2	05-08-2003	Adams et al.	
		WO 2004/019816 A2	03-11-2004	Cartledge et al.	
		WO 2004/045463 A2	06-03-2004	Webler, et al.	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

RJF PAS711311.1-\*--11/28/06 2:00 AM

<b>EXAMINER SIGNATURE</b>		<b>DATE CONSIDERED</b>	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE